

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

NAKAMURA, Shunji

Serial No.: 10/003,304

Filed: December 6, 2001

For: SEMICONDUCTOR MEMORY DEVICE AND
METHOD FOR FABRICATING THE SAME



ATTN: Refund Section
Accounting Division
Office of Finance

Group Art Unit: 2811

Examiner: Not yet assigned

P.T.O. Confirmation No.: 7007

Dep E 24
307

61 Reg for

Refund
03-06-02

REQUEST FOR REFUND

Commissioner for Patents
Washington, D.C. 20231

Date: June 11, 2002

Sir:

This is a request for a refund with respect to the charges to Deposit Account 01-2340 dated April 25, 2002 (Control Nos. 3 & 4; Fee Codes 103 & 102) for the above-identified patent application. A copy of the monthly statement dated April 30, 2002, in which the error referred to occurs, accompanies this request.

The amount of the refund requested is \$306.00 (\$54.00 + \$252.00) for the filing fee.

The Patent and Trademark Office inadvertently charged the amount of \$54.00 for filing claims in excess of twenty and \$252.00 for filing independent claims in excess of three. However, the application was filed on December 6, 2001, with checks in the amount of \$992.00, including \$740.00 for basic filing fee and \$252.00 for filing three extra independent claims. Since then, no extra claims have been added.

Please make the refund by crediting Account No. 01-2340.

Respectfully Submitted,

ARMSTRONG, WESTERMAN & HATTORI, LLP

Sadao Kinashi

Sadao Kinashi
Attorney for Applicants
Reg. No. 48,075

US PATENT & TRADEMARK
OFFICE
2002 JUN 20 PM 2:50
OFFICE OF FINANCE
REFUND BRANCH

SK/fs

Adjustment date: 04/25/2002
01 FC:103
02 FC:102
10/003,304
1000 1725 K Street, N.W.
Washington, D.C. 20006
(202) 659-2930



23850

PATENT TRADEMARK OFFICE

Enclosures: Copies of canceled checks (No. 52763 & No. 52789); Monthly Statement of April 30, 2002;
Application Transmittal filed December 6, 2001 and Date Stamped Postcard

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ARMSTRONG, WESTERMAN, HATTORI, McLELAND & NAUGHTON, LLP

CHECK

52789

Independent Claims in excess of Three (3)

252.00

ROUTE TO: FS

ARMSTRONG, WESTERMAN, HATTORI, McLELAND & NAUGHTON, LLP

CHECK

52763

Basic Filing Fee - Utility

740.00

ROUTE TO: FS

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office



Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

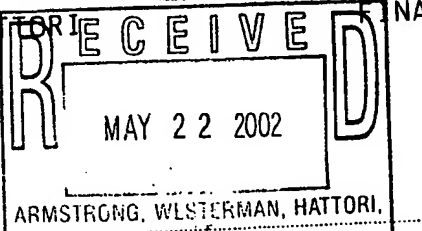
**MONTHLY STATEMENT
OF DEPOSIT ACCOUNT**

To replenish your Deposit Account, detach and return top portion with your check. Make check payable to Commissioner of Patents & Trademarks.



Account No.	012340
Date	4-30-02
Page	1

ARMSTRONG WESTERMAN HATTORI
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WASHINGTON DC 20006



PLEASE SEND REMITTANCES TO:
Patent and Trademark Office
P.O. Box 70541
Chicago, Ill. 60673

DATE POSTED			CONTROL NO.	DESCRIPTION* (Serial, Patent, TM, Order)	DOCKET NO.	FEE CODE	CHARGES/ CREDITS	BALANCE
MO.	DAY	YR.						
4	1	02	12	3496-144 10106446		704	-280.00	14163.36 ✓
4	1	02	40	3496-144 10106446	020258	104	280.00	13883.36 ✓
4	1	02	51	0021-1949 10107446	020412	104	18.00	13865.36 ✓
4	2	02	2	0353-830 09301345	990459	116	400.00	13465.36 ✓
4	2	02	4	0021-1864 09816128	010427	103	144.00	13321.36 ✓
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4	2	02	7	3271-081 10053890	991128B	103	18.00	13413.36 ✓
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4	2	02	73	0024-415 09801792	000096A	195	300.00	14616.36 ✓
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4	4	02	51	0353-976 09643911	001037	115	-110.00	✓ 14670.36 ✓
4	5	02	2	3750-033 09476334	990696A	126	180.00	14490.36 ✓
4	5	02	2	3750-017 08889105		103	-72.00	14562.36 ✓
4	5	02	3	3750-017 08889105		103	18.00	14544.36 ✓
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4	8	02	3	0810-483 10019569	011778	966	✓ 90.00	14564.36 ✓
4	8	02	16	550016		569	25.00	14539.36 ✓
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4	12	02	17	0024-447 10119141	991425A	104	✓ 144.00	15608.36 ✓
4	12	02	19	0021-1740 09233918		704	✓ -110.00	15718.36 ✓
4	15	02	1	3875-001 09254447	990043	203	✓ 9.00	15709.36 ✓
4	15	02	3	0303-991 09660946	001147	102	✓ 252.00	15457.36 ✓
4	15	02	10	3112-212 09887092	971480A	115	✓ 110.00	15347.36 ✓
4	16	02	2	3340-149 09764210	001707	115	✓ 110.00	15237.36 ✓
4	16	02	28	0303-024 5545023	See attached	581	✓ 40.00	15197.36 ✓
4	16	02	29	0303-024 5545023	See attached	584	✓ 120.00	15077.36 ✓
OPENING BALANCE					TOTAL CHARGES		TOTAL CREDITS	CLOSING BALANCE

AN AMOUNT SUFFICIENT TO
COVER ALL SERVICES REQUESTED
MUST ALWAYS BE ON DEPOSIT.

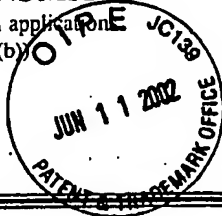
*** O.D. INDICATES OVERDRAW

AN
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MUS

UTILITY PATENT
APPLICATION TRANSMITTAL

(Only for new nonprovisional applications
under 37 CFR 1.53(b))

Check Box, if applicable [XX] Duplicate



Attorney
Docket No.

960456B

Total Pages

First Named Inventor or Application Identifier

Shunji NAKAMURA

Express Mail Label No.

PAGE 1 OF 3

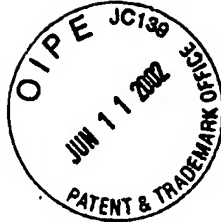
APPLICATION ELEMENTS FOR:
SEMICONDUCTOR MEMORY DEVICE AND METHOD
FOR FABRICATING THE SAME

ADDRESS TO: Commissioner for Patents
BOX PATENT APPLICATIONS
Washington, D.C. 20231

1. [X] Fee Transmittal Form (Incorporated within this form)
2. [X] Specification Total Pages [79]
3. [X] Drawing(s) (35 USC 113) Total Sheets [39]
4. [X] Oath or Declaration Total Pages [2]
 - a. [] Newly executed (original or copy)
 - b. [X] Copy from prior application (37 CFR 1.63(d) (for continuation/divisional with Box 17 completed).
 - i. [] Deletion of Inventor(s)
Signed statement attached deleting inventor(s) named in prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
5. [X] Incorporation by reference (usable if box 4b is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. [] Microfiche Computer Program (Appendix)
7. [] Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. [] Computer Readable Copy
 - b. [] Paper Copy (identical to computer copy)
 - c. [] Statement Verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. [X] Assignment to **FUJITSU LIMITED, Kawasaki, Japan** [Name, city and country] in the prior Application Serial No. **08/660,324**, filed on **June 4, 1996**, has been recorded at Reel **8061**, Frame **0193** on **July 31, 1996**.
Please publish the assignee data with the application.
9. [] 37 CFR 3.73(b) Statement (when there is an assignee) [X] Power of Attorney



CARD NO: 06397

U.S. Patent Application Docket No: 960456B
Serial No: Filed:
Patent Number: Issued:
Applicant(s): NAKAMURA, SHUNJI

Papers filed herewith on: 12/06/01

Fees: \$ 992.00

Other: 1.53b-Div. Transmittal; Spec.(79); Drwg.(39)
Decl.; IDS w/ 1449; Pre. Amd.



COMMISSIONER OF PATENTS

Receipt is hereby acknowledged of the papers filed as indicated
in connection with the above-identified case.

SK /FS